



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James J. Shelton

SERIAL NO.: 09/996,328

GROUP ART UNIT:

FILED: 11/28/2001

EXAMINER:

FOR: "Method and Apparatus For Disinfecting a Refrigerated Water Cooler Reservoir"

ATTORNEY DOCKET NO.: P01252US (98134.6P)

* * * * *

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a form PTO/SB/08a. Copies of the foreign references are enclosed.

Applicant respectfully submits that the application is in condition for allowance. A Notice of Allowance is hereby respectfully requested.

Should the Examiner feel that a telephone conference would advance the prosecution of this application, he is encouraged to contact the undersigned at the telephone number listed below.

Applicant respectfully petitions the Commissioner for any extension of time necessary to render this paper timely.

No fee is required to submit this form. However, if a fee is necessary, please charge any additional required fees to deposit Account No. 50-0694.

Respectfully submitted,

Charles Garvey

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 , on this 3 day of August, 2004.

Charles Garvey

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Substitute for form 1449A/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/996,328
(use as many sheets as necessary)				Filing Date	November 28, 2001
Sheet	1	of	4	First Named Inventor	James J. Shelton
				Art Unit	
				Examiner Name	
				Attorney Docket Number	P01252US (98134.6P)

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us- 1,403,025	01-10-1922	HAASE	
		us- 2,093,619	09-21-1937	POWELL	
		us- 2,947,525	08-02-1960	KLEIN	
		us- 3,448,045	06-03-1969	E.A. HESS ET AL	
		us- 3,692,180	09-19-1972	LARAUS	
		us- 3,726,404	04-10-1973	TROGLIONE	
		us- 3,967,131	06-29-1976	SLIPIEC	
		us- 3,970,731	07-20-1976	OKSMAN	
		us- 4,019,986	04-26-1977	BURRIS ET AL	
		us- 4,035,657	07-12-1977	CARLSON	
		us- 4,410,495	10-18-1983	BASSLER	
		us- 4,764,349	08-16-1988	ARFF	
		us- 4,776,127	10-11-1988	JACKSON	
		us- 4,805,808	02-21-1989	LARSON	
		us- 4,842,723	06-27-1989	PARKS ET AL	
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		us- 5,106,495	04-21-1992	HUGHES	
		us- 5,256,282	10-26-1993	CHANG	
		us- 5,295,519	03-22-1994	BAKER ET AL	
		us- 5,328,059	07-12-1994	CAMPBELL	

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2 022 979 A	12-19-1979	SOLYMAR	
		0 163 750	12-11-1985		
		361,103,595	05-22-1986		
		WO/88/04279	06-16-1988	LARSON	
		WO/92/04969	04-02-1992	DENNIS	
		WO 93/17725	09-16-1993	BURRIS	
		WO 97/42924	11-20-1997	ENGELHARD, ET AL	
		EP O 739 312 B1	10-30-1996	SUTERA	
		WO/00/38815	07-06-2000	Davis	

Examiner Signature	Date Considered
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²Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/996,328
(use as many sheets as necessary)				Filing Date	November 28, 2001
				First Named Inventor	James J. Shelton
				Art Unit	
				Examiner Name	
Sheet	2	of	4	Attorney Docket Number	P01252US (98134.6P)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)	MM-DD-YYYY		
		us. 5,366,619	11-22-1994	MATSUI ET AL	
		us. 5,431,861	07-11-1995	NAGAHIRO ET AL.	
		us. 5,503,809	04-02-1996	COATE	
		us. 5,531,908	07-02-1996	MATSUMOTO ET AL	
		us. 5,567,322	10-22-1996	RUNDLE ET AL.	
		us. 5,582,717	12-10-1996	DI SANTO	
		us. 5,587,089	12-24-1996	VOGEL ET AL	
		us. 5,632,268	05-27-1997	ELLIS ET AL	
		us. 5,669,221	09-23-1997	LEBLEU ET AL	
		us. 5,683,576	11-04-1997	OLSEN	
		us. 5,698,164	12-16-1997	KISHIOKA	
		us. 5,862,975	01-26-1999	CHILDRESS	
		us. 5,871,701	02-16-1999	LONG	
		us. 6,050,474	04-18-2000	AOTA ET AL.	
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		us. 6,085,540	07-11-2000	DAVIS	
		us. 6,149,804	11-21-2000	CHUNG ET AL.	
		us. 6,270,733	08-07-2001	RODDEN	
		us. 6,289,690	09-18-2001	DAVIS	
		us. 6,361,686	03-26-2002	CONRAD	

FOREIGN PATENT DOCUMENTS

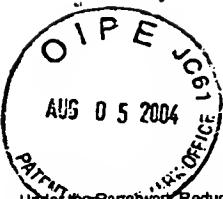
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Alison\Alison2\98134.6P-



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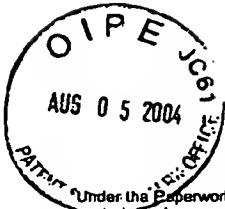
Application Number	09/996,328
Filing Date	November 28, 2001
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 4

Complete If Known

Application Number	09/996,328
Filing Date	November 28, 2001
First Named Inventor	James J. Shelton
Group Art Unit	
Examiner Name	
Attorney Docket Number	P01252US (98134.6P)

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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